

Docket No.: 05409/100J061-US2

Confirmation No.: TBA

Examiner: TBA

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Walter Brieden

Application No.: 10/695,930

Filed: October 29, 2003 Art Unit: N/A

For: PROCESS FOR THE PREPARATION OF

AMINOALCOHOL DERIVATIVES AND THEIR FURTHER CONVERSION TO (1R,4S)-4-((2-AMINO-6-CHLORO-5-

FORMAMIDO-4-PYRIMIDINYL)-AMINO)-2-CYCLOPENTENYL-1-METHANOL

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, attached hereto is a copy of Form PTO/SB/08. Copies of U.S. Patent Nos. 4,138,562, 5,917,042, 5,049,671, 5,087,697, 6,156,893, and 4,916,224 are submitted herewith. Pursuant to 37 CFR 1.98(d), copies of the other documents listed thereon are not supplied because they were previously cited by or submitted to the Office in the parent application (U.S. Serial No. 09/198,427).

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO/SB/08) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO/SB/08 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been

fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

This submission is being filed before the first Office Action has been mailed, therefore, no fee is believed to be due. If, there are any fees due in connection with this filing, the Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: February 5, 2004

Respectfully submitted,

Jay P. Lessler

Registration No.: 41,131

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Attorneys/Agents For Applicant

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/B/PTO				Complete if Known		
Substitute for form 1440705/175				Application Number	10/695,930	
ΙN	IFORMATIC	ON DI	SCLOSURE	Filing Date	October 29, 2003	
S	STATEMENT BY APPLICANT			First Named Inventor	Walter Brieden	
				Art Unit	N/A	
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	05409/100J061-US2	

U.S. PATENT DOCUMENTS					
F	Cite No.1	Document Number	Publication Date		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials*		Number-Kind Code ² (if known)			
		4,138,562	2/6/79	Vince	
		5,917,042	6/99	Daluge	
		5,049,671	9/91	Daluge	
		5,087,697	2/92	Daluge	
		6,156,893	12/00	Bernegger	
		4,916,224	4/90	Vince	
		5,034,394	7/23/91	Daluge ·	
		5,294,423	3/15/94	Lorthioir et al.	
		5,200,527	4/6/93	Griffiths et al.	
		5,847,201	12/98	Wieczorek	

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	 	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	l '	
		W0- 9919327		Jones			
		EP-512895	4/29/93				
		DE-947702	8/25/56				
		WO-9317020	9/2/93				
		EP-508352	4/6/92				
		WO-9521161	8/10/95				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1					
		Katagiri et al., Chem. Pharm.Bull., 39(5), 1112-1122, 1991.				
		Campbell et al., J. Org, Chem. 1995, 60, 4602-2616.				
		Taylor S. J. et al., Tetrahetron: Asymmetry Vol. 4, No. 6, 1993, 1117-1128.				
		Park K.H. & Rappoport H., J. Org. Chem. 1994, 59, 394-399.				
		Martinez et al. (J. Org. Chem. 1996, 61, 7963 - 7966).				
		Katagiri et al., (Tetrahedron Letters, 1989, 30, 1645 - 1658).				
		Malpass & Tweedle, J. Chem. Soc., Perkin Trans 1, 1977, 874 - 884).				
		H.C. Brown et al., Inorg. Chec. 20, 1981, 4456 - 4457.				
		Balkenhohl et al., 1997, J. Prakt. Chem. 338, 381 - 384.				
		K. Farber, Biotransformation in Organic Chemistry, 2 nd ed., Berlin 1995, 270-305.				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

{W:\054	Date	
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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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Information Disclosure Statement (2 pages)
SB/08, list of references (copies not attached) (2 pages)

(6) References

PTO/SB/21 (08-03)
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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/695,930
Filing Date	October 29, 2003
First Named Inventor	Walter Brieden
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	05409/100J061-US2

ENCLOSURES (Check all that apply)						
Fee Transr	mittal Form	Drawing(s)	After Allowance Communication to Group			
Fee Attached		Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendmer	nt/Reply	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After	Final	Petition to Convert to a Provisional Application	Proprietary Information			
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Éxtension	of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):			
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